



Issue Date: 29 November 2004

In the Matter of:

GUIDO KAESER,
Complainant

Case No. 2004-SOX-29

v.

MERRILL LYNCH INTERNATIONAL, INC.,
Respondent

ORDER DISMISSING COMPLAINT PURSUANT TO SETTLEMENT

On November 23, 2004, the Complainant filed a joint stipulation signed by counsel for both parties, and a proposed order of dismissal, representing that the parties have reached a settlement, pursuant to which the Complainant voluntarily dismisses the complaint and all claims against Merrill Lynch in their entirety with prejudice.

IT IS THEREFORE ORDERED, in accordance with the proposed order submitted by the parties:

1. The Complainant's claim and Complaint against Respondent is hereby dismissed with prejudice, with each party to bear its own costs and attorneys' fees.
2. All pending motions are hereby denied as moot, and this file will be closed.

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ALICE M. CRAFT
Administrative Law Judge