

U.S. Department of Labor

Office of Administrative Law Judges
William S. Moorhead Federal Office Building
1000 Liberty Avenue, Suite 1800
Pittsburgh, PA 15222



(412) 644-5754
(412) 644-5005 (FAX)

Issue Date: 12 April 2011

CASE NO: 2011-SOX-23

In the Matter of:

DAVID LYON,
Complainant

v.

NORTHERN TRUST, ET AL,
Respondents

**ORDER APPROVING SETTLEMENT AGREEMENT
AND DISMISSING WITH PREJUDICE**

This case arises under the employment protection provisions of Section 806 of the Corporate and Criminal Fraud Accountability Act of 2002, Title VIII of the Sarbanes-Oxley Act of 2002, 18 USC § 1514A (Sarbanes-Oxley) enacted on July 30, 2002, the regulations at 29 CFR Part 1980 and the rules of practice. On April 5, 2011, Complainant, David Lyon, by and through counsel, requests the withdrawal and dismissal with prejudice his complaint against all respondents in the above-captioned matter before the United States Department of Labor, Office of Administrative Law Judges. The parties reached mutual and voluntary settlement of complainant's claims. Pursuant to 29 C.F.R. § 70.26, the parties request that the settlement be treated as confidential business information.

I have read the Settlement Agreement and General Release signed by the parties and find that the settlement is fair, adequate and reasonable. I approve the settlement agreement as set forth and recommend dismissal, with prejudice. After review, it is determined that the Agreement is fair and reasonable on its face and effectuates the purposes and policies of the Act.

ACCORDINGLY, it is hereby ORDERED that:

1. The "Settlement Agreement and Release" is APPROVED;

2. The complaint is DISMISSED with prejudice; and the “Settlement Agreement and Release” shall be treated as confidential financial information pursuant to 29 C.F.R. § 70.26 and handled as set forth in the regulations.
3. The hearing scheduled for August 16, 2011 in Chicago, Illinois is cancelled.

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MICHAEL P. LESNIAK
Administrative Law Judge