



Issue Date: 07 April 2011

Case No.: 2011-SOX-00014

In the Matter of

PAUL SINCLAIR

Complainant

v.

**VOLT INFORMATION SCIENCES, INC.
and VOLT DELTA RESOURCES, LLC**

Respondents

FINAL ORDER APPROVING SETTLEMENT

This proceeding arises from the appeal of the dismissal of a complaint filed by Paul Sinclair against Volt Information Sciences, Inc. and Volt Delta Resources, LLC alleging violation of § 806 of the Sarbanes-Oxley Act of 2002, 18 U.S.C. 1514A (“SOX”). The parties have submitted a “Settlement, Release and Cooperation Agreement” (the “Agreement”) that became effective on March 30, 2011, and resolves the SOX action. The parties request approval of the Agreement (which has been submitted to me in two formats .one in its entirety, and one with the settlement amounts redacted), that its terms be kept confidential pursuant to 29 C.F.R. § 70.26, pertaining to requests for confidential commercial and financial information under the Freedom of Information Act, 5 U.S.C. § 552(b) (4), and that the official record copy of the Agreement shall include redaction of settlement amounts.

Having reviewed the parties’ Agreement with regard to the complaint and appeal under SOX, I make the following findings:

1. The Agreement appears to be fair and reasonable on its face and to effectuate the purposes and policies of SOX.
2. Although the parties’ settlement agreement contains provisions that relate to action by Complainant and Respondent under other statutes, I have no authority to approve such provisions and the instant order makes no determination regarding the propriety of these provisions.
3. The parties are deemed to have waived any further proceedings before the U.S. Department of Labor regarding the matters which are the subject of the Agreement, including challenging or contesting the validity of the instant order.

4. The instant order shall have the same force and effect as one made after a hearing on the merits.

5. The official record copy of the Agreement shall include redaction of settlement amounts and the Agreement should be designated and handled as confidential commercial and financial information pursuant to 29 C.F.R. § 70.26(b).

Based on the foregoing, and in accordance with the parties' Agreement, it is ORDERED that:

1. The Agreement is APPROVED.

2. The Agreement shall be designated and handled as confidential commercial and financial information pursuant to 29 C.F.R. § 70.26(b), the terms of the Agreement shall not be disclosed by any party or the Office of Administrative Law Judges, either specifically or generally, pursuant to 29 C.F.R. § 70.26, and the official record copy of the Agreement shall include redaction of settlement amounts.

3. The complaint and appeal in this matter are DISMISSED with prejudice.

4. The hearing scheduled for April 27, 2011 in New York, New York is hereby CANCELLED.

SO ORDERED.

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THERESA C. TIMLIN
Administrative Law Judge

Cherry Hill, New Jersey