



Issue Date: 29 October 2015

Case No.: 2015-SOX-00010

In the Matter of

BIRINDER KAUR SHANKAR
Complainant

v.

WELLS FARGO BANK, NA
Respondent

**ORDER APPROVING SETTLEMENT AGREEMENT AND
DISMISSAL OF CLAIMS WITH PREJUDICE**

This matter arises under Section 806 (the employee protection provision) of the Sarbanes-Oxley Act of 2002, (“SOX” or “the Act”), 18 U.S.C. §1514A, as amended by § 922(c) of the Dodd-Frank Act, Public Law 111-203 (July 21, 2010). Implementing regulations are found at 29 C.F.R. Part 1980 and Part 18, Subpart A. The Complainant is not represented by counsel. A hearing in this matter is not presently scheduled.

On October 20, 2015 this office received the parties’ “Joint Motion for Approval of Settlement Agreement and Dismissal of Claims with Prejudice” in which it advises that the parties have reached a settlement in this matter. I have thoroughly reviewed the parties’ settlement. I find it is reasonable and that the Complainant entered into the settlement freely and voluntarily

Accordingly, I hereby approve the parties’ Settlement and **ORDER** this matter **DISMISSED** with prejudice. In accordance with the parties’ Settlement, each party shall bear its own costs and expenses.

SO ORDERED.

ADELE H. ODEGARD
Administrative Law Judge

Cherry Hill, New Jersey