



Issue Date: 18 May 2016

CASE NO.: 2016-SOX-00026

In the Matter of:

WILLIAM HICKS,
Complainant,

v.

UNION BANK,
Respondent.

**ORDER GRANTING COMPLAINANT'S REQUEST TO WITHDRAW
HIS OBJECTIONS TO THE SECRETARY'S FINDINGS**

This action arises out of a complaint filed pursuant to Section 806 the Corporate and Criminal Fraud Accountability Act of 2002, commonly referred to as the Sarbanes-Oxley Act, 18 U.S.C. § 1514A.

On January 29, 2016, the Secretary's issued his Findings in this matter. Complainant filed his objections and requested a hearing before an ALJ on March 2, 2016. On April 18, 2016, Complainant's April 11, 2016 letter requesting permission to withdraw his complaint was filed. I am treating that letter as a request to withdraw Complainant's objections to the Secretary's Findings pursuant to 29 C.F.R. § 1980.111(c). On May 18, 2016, I conducted a teleconference with the parties to insure Complainant was making a knowing and informed request and to provide the parties the opportunity to be heard.

For the above reasons, it is ORDERED THAT

1. Complainant's Request to Withdraw His Objections to the Secretary's Findings is granted.

2. This matter is hereby dismissed with prejudice.

WILLIAM J. KING
Administrative Law Judge