

**U.S. Department of Labor**

Office of Administrative Law Judges  
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**Issue Date: 09 August 2018**

Case No.: 2018-SOX-00015

In the Matter of

**KAREN ATESOGLU**  
Complainant

v.

**AMERICAN INTERNATIONAL GROUP, INC.**  
Respondent

**FINAL ORDER OF DISMISSAL**

In March 2018, this matter was docketed for a hearing before the United States Department of Labor, Office of Administrative Law Judges (“OALJ”) pursuant to Section 806 of the Corporate and Criminal Fraud Accountability Act of 2002, Title VIII of the Sarbanes-Oxley Act of 2002 (“SOX”), as amended, 18 U.S.C. § 1514A, and the implementing regulations at 29 C.F.R. Part 1980. Complainant, Karen Atesoglu, filed a complaint with the Occupational Safety and Health Administration (“OSHA”) under the employee protection provisions of SOX in which she alleged that Respondent, American International Group, Inc., terminated her employment on November 17, 2015 in retaliation for her voicing her concerns about improprieties in derivatives reporting. Dissatisfied with OSHA’s determination to dismiss the complaint, Complainant filed her objection and request for hearing before the OALJ.

The parties are represented by counsel.

On August 1, 2018, through counsel, the parties filed a Confidential Settlement Agreement (“Settlement Agreement”) which has been approved by separate Order.

Based on the Settlement Agreement, this matter is DISMISSED, with prejudice. In accordance with 29 C.F.R. § 24.111, this action constitutes the Secretary’s Final Order. The pre-hearing conference scheduled for November 13, 2018, and the hearing scheduled for December 12-14, 2018 are CANCELED.

SO ORDERED.

**LYSTRA A. HARRIS**  
Administrative Law Judge

Cherry Hill, New Jersey