



**Issue Date: 05 November 2019**

Case No.: 2019-SOX-00044

In the Matter of:

**GREGORY ANDREW**  
Complainant

v.

**KPMG LLC**  
Respondent

**ORDER APPROVING SETTLEMENT AND DISMISSING COMPLAINT**

This matter involves the complaint of Gregory Andrew (“Complainant”) against KPMG, LLC (“Respondent”) under Section 806 of the Corporate and Criminal Fraud Accountability Act of 2002, Title VIII of the Sarbanes-Oxley Act of 2002 (“SOX”), as amended, 18 U.S.C. § 1514A, and the implementing regulations at 29 C.F.R. Part 1980.

On October 15, 2019, the parties submitted a Confidential Settlement Agreement and General Release for approval.<sup>1</sup> The agreement is signed by Complainant and Respondent’s General Counsel. I have reviewed the settlement agreement, and I find it is fair and reasonable. It is not contrary to the public interest, and it was not procured under duress.

Accordingly, pursuant to 29 C.F.R. § 1980.111(d)(2), I **APPROVE** the settlement agreement. In light of my approval of the parties’ settlement agreement, I hereby **DISMISS** the complaint.

---

<sup>1</sup> In the letter transmitting the settlement agreement, the parties designated the monetary terms of the settlement as confidential and privileged commercial and financial information. In the event that a request is made, under the Freedom of Information Act (“FOIA”), for access to the settlement agreement, the Department of Labor will provide the parties with pre-disclosure notification and an opportunity to respond before any disclosure is made. *See* 29 C.F.R. § 70.26. The parties are reminded that the pre-disclosure notice procedure does not constitute a finding that the settlement agreement, or any portion thereof, will be exempt from disclosure under FOIA. *See* 29 C.F.R. § 70.26(f).

**SO ORDERED.**

**LAUREN C. BOUCHER**  
Administrative Law Judge