

U.S. Department of Labor

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Issue Date: 08 October 2020

Case No.: 2019-SOX-00040

In the Matter of

COLLEEN A. GRAHAM,
Complainant

v.

CREDIT SUISSE SECURITIES, et al.,
Respondent

CORRECTION ORDER

On June 26, 2020, the undersigned issued an Order Addressing Complainant's Motion to Compel, Complainant's Motion to Amend, and Respondents' Cross Motions. On her own review, the undersigned noticed a typographical error in Section IV, Conclusion of the Order, in which the names of the two Respondents, Credit Suisse and Signac, are reversed relating to Complainant's Motion to Compel on the grounds of sanctions. Accordingly, the Order is corrected to read as follows:

1. The undersigned **DENIES** Complainant's Motion to Compel on the grounds of sanctions related to Complainant's assertion of untimeliness of Respondent Signac's responses;
2. She **GRANTS** Complainant's Motion to Compel on the grounds of sanctions related to Respondent Credit Suisse's withholding of documents;
3. She also **DENIES IN PART** and **GRANTS IN PART** Complainant's Motion to Compel related to the Requests for Production in question, as discussed in the Order of June 26, 2020;
4. The undersigned **GRANTS** Respondent Credit Suisse's Cross Motion for Entry of Confidentiality Order, and issues a revised protective order to account for the sanctions as discussed above;
5. The undersigned **GRANTS** Respondent Signac's Motion for an Extension of Time; and
6. The undersigned **GRANTS** Complainant's Motion for Leave to Amend.

SO ORDERED.

THERESA C. TIMLIN
Administrative Law Judge

Cherry Hill, New Jersey