



**Issue Date: 12 September 2019**

Case No.: 2019-SOX-00040

In the Matter of:

**COLLEEN A. GRAHAM**  
Complainant

v.

**CREDIT SUISSE SECURITIES, et al.**  
Respondents

**ORDER DENYING RESPONDENT CREDIT SUISSE SECURITIES' APPLICATION  
FOR LEAVE TO FILE REPLY IN SUPPORT OF MOTION TO DISMISS**

This matter arises under the employee protection provision of Section 806 of the Corporate and Criminal Fraud, Accountability Act of 2002, Title VII of the Sarbanes Oxley Act of 2002 (hereinafter, "SOX" or "the Act"), 18 U.S.C. § 1514A.

On July 30, 2019, this office received Respondent Palantir Technology Inc.'s Motion for a Stay of the Case and for Dismissal or Summary Decision. On July 31, 2019, this office received Respondent Signac's Motion for a Stay of the Case and for Dismissal or Summary Decision. On July 31, 2019, this office received Respondent Credit Suisse Securities' Motion to Stay and Motion to Dismiss.

On August 20, 2019, this office received Complainant's Response to all Motions listed above.

This office received Respondent Credit Suisse Securities' Application for Leave to File Reply in Support of its Motion for Summary Decision on August 27, 2019. On August 28, 2019, the undersigned then received Complainant's Opposition to the Application.

Upon consideration of both Application and Opposition, I hereby **DENY** Respondent Credit Suisse Securities' Application for Leave to File Reply in Support of its Motion for Summary Decision.

**SO ORDERED.**

**THERESA C. TIMLIN**  
Administrative Law Judges

Cherry Hill, New Jersey