



**Issue Date: 25 September 2020**

Case No.: 2019-SOX-00040

In the Matter of

**COLLEEN A. GRAHAM,**  
Complainant

v.

**CREDIT SUISSE SECURITIES, et al.,**  
Respondent

**ORDER RESCHEDULING HEARING**

This matter arises under the employee protection provision of Section 806 of the Corporate and Criminal Fraud, Accountability Act of 2002, Title VII of the Sarbanes Oxley Act of 2002 (hereinafter, "SOX" or "the Act"), 18 U.S.C. § 1514A.

On September 24, 2020, I conducted a conference call, during which the parties agreed that the hearing be rescheduled as follows:

**DATE: Monday, March 8, 2021 through  
Friday, March 12, 2021**

**TIME: 10:00 a.m.**

**LOCATION: VIDEOTELECONFERENCE  
THROUGH MS TEAMS**

The parties are advised that approximately one week before the hearing they should arrange with my staff to do a test run of Microsoft Teams to make sure that everyone will be able to access the technology for the hearing.

The pre-hearing deadlines are amended as follows:

1. Motions for Summary Decision must be submitted for receipt by **December 31, 2020.**
2. Discovery is to be completed by **October 30, 2020.**

## **DOCUMENT SUBMISSIONS**

Unless the undersigned gives leave, parties shall send all documents to this office **by electronic means (email)**.<sup>1</sup> Send all correspondence in this matter to the following addresses: OALJ-CherryHill@dol.gov **AND** [broome.donna.m@dol.gov](mailto:broome.donna.m@dol.gov). The subject line for any correspondence shall be “Judge Timlin: [Claimant’s name] v. [Respondent’s name], Case number (*i.e.*, 2020-STA-00051), followed by a brief description (*i.e.*, Motion to do something).” Unless a party receives prior approval, all attached documents to an email shall be in .doc, .docx, or .pdf format.

The undersigned will NOT accept submissions by hardcopy, unless approved in advance.  
*See* 29 C.F.R. § 18.30(b)(3)(i).

All other provisions as set forth in the original Notice of Hearing and Prehearing Order remain in effect.

**SO ORDERED.**

**THERESA C. TIMLIN**  
Administrative Law Judges

Cherry Hill, New Jersey

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<sup>1</sup> Parties may also file by facsimile (FAX) as OALJ’s system now converts faxes into electronic documents.