

UNITED STATES DEPARTMENT OF LABOR  
OFFICE OF ADMINISTRATIVE LAW JUDGES  
BOSTON, MASSACHUSETTS

Issue Date: 10 July 2020

CASE NO.: 2020-SOX-00031

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*In the Matter of:*

SCOTT KNOUS,  
*Complainant,*

v.

BROADRIDGE FINANCIAL SOLUTIONS, INC.,  
*Respondent.*

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**ORDER OF DISMISSAL**

This proceeding arises from a complaint of discrimination filed under section 806 of the Corporate and Criminal Fraud Accountability Act of 2002, Title VIII of The Sarbanes-Oxley Act of 2002, 18 U.S.C. § 1514A (“SOX”) and the procedural regulations found at 29 C.F.R. Part 1980.

The statute and implementing regulations allow a complainant to file a complaint in the United States District Court if the Secretary of Labor has not issued a final decision within 180 days of the filing of a complaint with OSHA, and there is no showing that such delay is due to the bad faith of the complainant. More than 180 days have elapsed since the complaint was filed with the Department of Labor in this case.

On June 30, 2020, Complainant’s attorney advised that suit had been filed in federal court. On July 2, 2020, Complainant’s attorney provided a copy of the complaint filed in the U.S. District Court for the District of Massachusetts. In accordance with 18 U.S.C. § 1514A (b)(1)(B), the U.S. District Court has assumed jurisdiction of the matter.

Accordingly, as I no longer have jurisdiction over this matter, the complaint filed by Scott Knous is hereby **DISMISSED WITH PREJUDICE**.

**SO ORDERED.**

**TIMOTHY J. McGRATH**  
Administrative Law Judge

Boston, Massachusetts